

Audit and Governance Committee

23 June 2014



Risk Management

Report of the Insurance, Risk & Procurement Manager

Wards Affected – All

1. **Purpose of Report** – To update Members on Risk Management changes and developments.
2. **Recommendations** – CLT are recommended to:
 - 2.1 Approve the revised/updated Corporate Risk Register at Appendix A.
 - 2.2 Approve the new Risk Register for Skipton Town Hall at Appendix B
 - 2.3 Approve the CRAM form at Appendix C relating to PSN compliance

3. **Report**

3.1 **Changes to Risk Register – Biannual Review**

The Risk Register taken to Audit Committee in October 2013 contained 8 risks. Over 200 risks had been identified in the original risk profiling exercise. Only those scoring over the Council's risk appetite of 10 (based on 5x5 risk matrix) are included on the Risk Register.

- 3.2 Action plans have been completed for all risks on the Council Risk Register which are reviewed on a biannual basis, the action plans include: -
 - Additional measures required to reduce the risk
 - Responsible officer/committees
 - Links to the budget
 - Key dates
 - Links to the Council priorities and KPI's
- 3.3 The risks and action plans have been reviewed with the relevant officers and the changes incorporated into the proposed Draft Risk Register at Appendix A. Changes have been highlighted in red bold font.

3.4 New Risks on Risk Register

There have been no new risks scoring highly enough to feature on the Risk Register at this latest review.

3.5 Amendments to existing risks

The following risks have made varying degrees of progress which have been included on the proposed Risk Register at appendix A,

Risk 29 - Capacity and expertise in IT to meet demands - Progress is being made but remains on Risk Register with unchanged score of 12

Risk 32 - Data handling obligations – score has reduced from 16 to 12 following delivery of training to Members.

Risk 40 - Tour de France – A separate Risk Register has been produced which has been reviewed and is the subject of a separate agenda item.

Risk 42 – Sustainable and long term future for town hall Skipton. – Score remains at 12. A separate more detailed Risk Register has been drawn up to cover the town hall refurbishment project. This is presented at Appendix B for approval.

Risk 57 – Financial position of Council – Score has reduced slightly from 12 to 10 reflecting the achievement of savings targets for 2013/14.

Risk 59 – Reduction in funding – uncertainties over funding levels 2014/15 onwards, changes from localisation business rated and CT benefit. – Score remains unchanged at 12.

Risk 61 – Insufficient capital resource to fund capital programme – score reduced slightly from 12 to 10 reflecting Craven's improved position.

Risk 119 – Increase in planning appeals leading to financial loss and loss of reputation remains on the risk register with an unchanged score of 10. Progress is being made towards publication of draft document with a revised target date of September 2014.

- 3.6 As a result of this review of the Risk Register subject to Member approval, there will be a total of 8 Risks on the Council's Risk Register.
- 3.4 There has been one additional CRAM form completed by the Shared IT Service Manager relating to on-going non-compliance issues with Public Service Network. This risk has scored 8 and therefore does not score highly enough to feature on the Risk Register. It will sit on the IT Service's Risk Profile. Members are requested to approve the new risk identified on the CRAM form at Appendix C

4. **Financial Implications** – There are no financial implications associated with this report.

5. **Legal Implications** – None.

6. **Contribution to Corporate Priorities** –

Risk Management contributes to all our Corporate Priorities by identifying hazards and ensuring adequate controls are in place to help ensure corporate priorities are achieved.

7. **Risk Management** – There are no risks arising as a direct result of this report.

8. **Consultations with Others** – Responsible Officers

9. **Access to Information: Background Documents** – Risk Register

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11. **Appendices**

Appendix A – Proposed Draft Risk Register

Appendix B – Skipton Town Hall Risk Register

Appendix C – CRAM form – PSN compliance