

Audit and Governance Committee – 30 March 2015



Risk Management Update

Ward(s) affected: ALL

Report of Strategic Manager Financial Services (S151 Officer)

1. **Purpose of Report** – To update Members on Risk Management changes and developments.
2. **Recommendations** – Members are recommended to:
 - 2.1 Approve the revised/updated Corporate Risk Register at Appendix A.
 - 2.2 Approve the upgraded Health and Safety Risk at Appendix B
 - 2.3 Approve the reviewed Risk Register for Skipton Town hall at Appendix C

3. **Report**

3.1 **Changes to Risk Register – Biannual Review**

The Risk Register taken to Audit & Governance Committee in September 2014 contained 8 risks. Over 200 risks had been identified in the original risk profiling exercise. Only those scoring over the Council's risk appetite of 10 (based on 5x5 risk matrix) are included on the Risk Register.

3.2 Action plans have been completed for all risks on the Council Risk Register which are reviewed on a biannual basis, the action plans include: -

- Additional measures required to reduce the risk
- Responsible officer/committees
- Links to the budget
- Key dates
- Links to the Council priorities and KPI's

3.3 The risks and action plans have been reviewed with the relevant officers and the changes incorporated into the proposed Draft Risk Register at Appendix A. Changes have been highlighted in red bold font.

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3.4 New Risks on Risk Register

There have been no new risks scoring highly enough to feature on the Risk Register at this latest review.

3.5 Amendments to existing risks

The following risks have made varying degrees of progress which have been included on the proposed Risk Register at Appendix A:

Risk 29 - Capacity and expertise in IT to meet demands - The risk score has increased from 12 to 16 at this review in light of staff losses and pending conclusion of other long term options.

Risk 32 - Data handling obligations – score remains unchanged at 12 .but may reduce at next review following appointment of Information Governance Officer.

Risk 42 – Delivery of Skipton town hall refurbishment project. – Score remains at 12. A separate more detailed Risk Register has been drawn up to cover the town hall refurbishment project. This is presented at Appendix C for approval.

Risk 59 – Reduction in funding – Score remains unchanged at 10.

Risk 61 – Insufficient capital resource to fund capital programme – score remains unchanged at 10

Risk 119 – Increase in planning appeals leading to financial loss and loss of reputation remains on the risk register with an unchanged score of 10. A number of new studies have been commissioned to supplement and support the Local Development plan following consultation. Progress is being made towards publication of draft document with a revised target date of sept 2015.

Risk 121 Compliance with PSN requirements – This risk remains with an unchanged score of 10 reflecting the ongoing and increasing requirements to meet the standard.

Risk 124 – Compliance with Waste Amendment Regulations – The score remains unchanged, Self- Assessment not yet complete.

3.6 Upgraded Risk from Risk Profile

As part of this review the Council's Health and Safety Advisor has reviewed risk no. 11 relating to Health and Safety. The likelihood score has been increased from 2 to 3 which increases the score from 8 to 12 taking it from the Risk Profile on to the Risk Register. A new Health and Safety Policy and procedures are in the process of being rolled out and training provided which has not yet been completed. The updated risk wording can be seen at Appendix B

3.7 As a result of this review of the Risk Register subject to Member approval, there will be a total of 9 Risks on the Council's Risk Register.

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4. **Financial and Value for Money Implications** – There are no financial implications associated with this report.
5. **Legal Implications** – None.
6. **Contribution to Council Priorities** –

Risk Management contributes to all our Corporate Priorities by identifying hazards and ensuring adequate controls are in place to help ensure corporate priorities are achieved.
7. **Risk Management** – There are no risks arising as a direct result of this report.
8. **Equality Analysis** – Not relevant in the context of this report.
9. **Consultations with Others** – Responsible Officers, Corporate Risk Management Group, CLT
10. **Access to Information: Background Documents** – Risk Register
11. **Author of the Report** – Carol Lee

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12. **Appendices**

Appendix A – Proposed Draft Risk Register
Appendix B – Upgraded Health and Safety Risk
Appendix C - Skipton Town Hall Risk Register